

## Analysis of DFT calculated reaction energies for CO bonding and dissociation on metal alloy surfaces.

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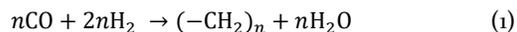
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**ABSTRACT:** The Catalysis-Hub.org web-platform database that has been generated by the Center for Interface Science and Catalysis contain a vast amount of information about CO surface reactions on catalysts. Currently the database is not well organized to be useful for machine learning. We report a logical analysis of the database focused on CO bonding and dissociation in a tree chart. With a data analysis we discovered a correlation between increasing group numbers of atoms in the chemical compositions and an increasing reaction energy. The processed data can be used after more qualitative research by machine learning surveys.

### Introduction

We live in an era where we are at the start of a major change from fossil fuels to renewable resources. One of the reasons is the exhaustible nature of fossil fuels [1]. For this we must look for alternatives to energy sources. One of these alternatives is the production of hydrocarbons from carbon monoxide (CO) using catalysts and is known as the Fischer-Tropsch synthesis [2].



The Fischer-Tropsch synthesis mainly produces *n*-alkanes and 1-alkenes according to equation 1 with a catalyst [3].

Figure 1 explains the reaction visually [4].

An alternative catalyst can be cheaper or more effective, so it is valuable to gain more insight into this. Fortunately, a lot of research has been done into the bonding and dissociation of CO on a catalyst and is brought together in the Catalysis-Hub.org web-platform database [5]. This is a web-platform database designed by SUNCAT's Center for Interface Science and Catalysis. However, the interconnected information in database need to be organized in a way to use it for further studies, such as machine learning. This research aims to

extract the vast amount of information in the catalysis-hub.org database and sorting so that it can be used for machine learning. A side aim of this research is to make a data analysis to see if there are any trends visible.

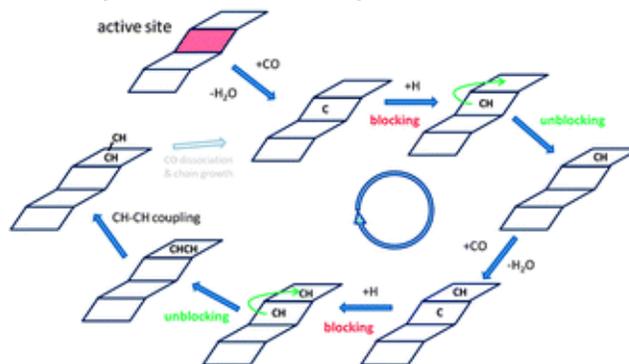


Figure 1 The Fischer-Tropsch synthesis of hydrocarbons on a corrugated Ru surface according to Shetty et al. [4]. Blocking and unblocking refers to the accessibility of the active site.

### Experimental

#### Data source

As a data source we downloaded information [6-13] from Catalysis-Hub.org on the 20<sup>nd</sup> of May 2019. The Catalysis-Hub.org database structure is built of several interconnected tables. The most important being the 'Reaction', 'Publication', and 'Systems' table. Each giving information about the reaction, publication, and system respectively. For this article only information from these tables are used. At <http://docs.catalysis-hub.org/> more details can be found. Calculations in these researches were made with the Density Functional Theory (DFT) and is widely used to calculate the reaction energies of catalysis reactions [14]. DFT uses in principle exact electron density distribution  $n(r)$  and is

preferable to the intrinsically approximate electron wave function theories  $\Psi(r_1, \dots, r_N)$  with higher accuracy. [15] The database allows to search on the following chemical properties: reactants, products, surface of catalyst, and/or 'facet'. However, the equations, the DFT code and DFT functional which were used to make the calculations, and the chemical composition of the catalyst are needed to compare the vast amount of data. The main used equations were CO binding and dissociation as described by equations 2 and 3. The \* is the binding energy.



The main used DFT codes were DACAPO and Quantum Espresso and both uses pseudopotentials and a plane wave basis set [16]. The main used DFT functionals were RPBE and BEEF-vdW, which were the functionals with the lowest error for CO reaction energies (9-11 kJ/mol) [17]. The chemical composition is the arrangement, type, and ratio of atoms in the catalysts. We have generalized the chemical composition into classes in which the metal was simply replaced by M (Metal). I.e.  $\text{Zr}_3\text{Au}$  falls in class  $\text{M}_3\text{M}_1$  and  $\text{Au}_{36}$  in  $\text{M}_36$

#### Raw datasheet

On Catalysis-Hub.org we searched in the database of reaction energies and barriers (Surface Reactions) for 'CO' reactants. Then the GRAPHQL QUERY was opened to see the JSON code of the database. A query was entered containing all the possible variables from the 'systems' and 'reactions' tables. From the JSON format 2091 data instances were converted online (<http://convertcsv.com/json-to-csv.htm>) into an excel sheet.

#### Processing of raw datasheet

The data processing was done in 5 steps:

1. The data containing equations with only 'CO(g)' as a reactant was preserved, and the other equations were removed.  
*Remaining data instances: 1994*
2. The data containing more than 50 data instances in the combination of DFT code and DFT functional were preserved and the other equations were removed.  
*Remaining data instances: 1965*
3. The data containing facets with less than 40 data instances were discarded (except for the '211' facets).  
*Remaining data instances: 1928*
4. The chemical compositions were divided in designated groups. This was done by replacing the atoms with an M (from Metal) and then putting the same names in a group. (e.g.  $\text{Ag}_3\text{Pd}_9$  became  $\text{M}_3\text{M}_9$  and therefore ended up in group  $\text{M}_3\text{M}_9$ )
5. The data was sorted on chemical composition and how the  $\text{CO}^*$ ,  $\text{C}^*$  or  $\text{O}^*$  are bound to the catalyst (i.e. binding sites).

Curated data is available on request from the corresponding author.

#### Tree chart

The tree chart was made according to a logical sequence, starting with a square (bucket) of the total number of data instances. The choice was made to then separate the data in the following order: (1) Equation, (2) DFT code, (3) DFT functional, (4) facet of the catalyst surface, (5) chemical composition, and the (6) binding sites. Numbers indicate the layer in the structure graph where (1) is the highest layer below the total bucket

#### Data analysis

From the CO bonding and dissociation reaction, the buckets with the highest data instances were chosen to be analysed. The CO dissociation reaction bucket was formed by combining the OH binding site bucket of  $\text{M}_3\text{M}_9$  and  $\text{M}_9\text{M}_3$ . The  $\text{M}_9\text{M}_3$  data was inversed, so this also became  $\text{M}_3\text{M}_9$  data. The combined  $\text{M}_3\text{M}_9$  bucket was called  $\text{A}_3\text{B}_9$  to distinguish between the first and the second M. For the CO bonding reaction bucket, the { } binding site of the  $\text{M}_3\text{M}_1$  bucket was chosen. Although there is no given binding site, this bucket has the most data instances and can therefore be used for a plot. The  $\text{M}_3\text{M}_1$  was called  $\text{A}_3\text{B}_1$  to distinguish between the first and the second M. The 3D plots were made with MATLAB.

#### Results

##### Tree Chart

Each square in the tree chart (figure 4 in appendix A) represents a collection of data instances that meet the bucket in which they fall and above.

#### Data analysis

Data comes from the buckets as explained in the 'Data analysis' in Experimental. In the 3D plots (figure 2 and 3) it is clearly visible that with increasing both group numbers, the reaction energy increases.

#### Discussion and implications

Reaction energy of carbon monoxide dissociation on  $\text{A}_3\text{B}_9$  chemical composition

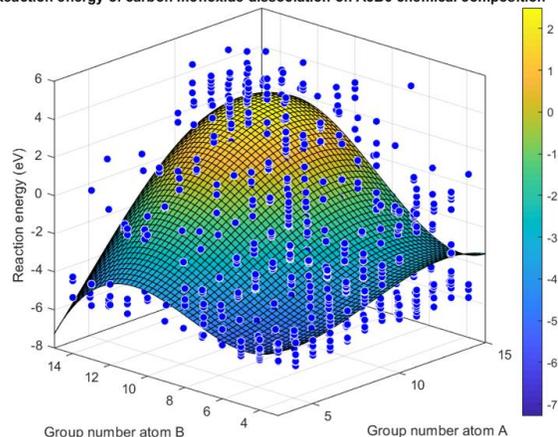


Figure 2 A 3D plot with the dissociation reaction energy (eV) plotted against the periodic group of atom A versus B of the chemical composition with a hollow binding site. A third-degree polynomial was used in MATLAB to generate a fit through all the data points.

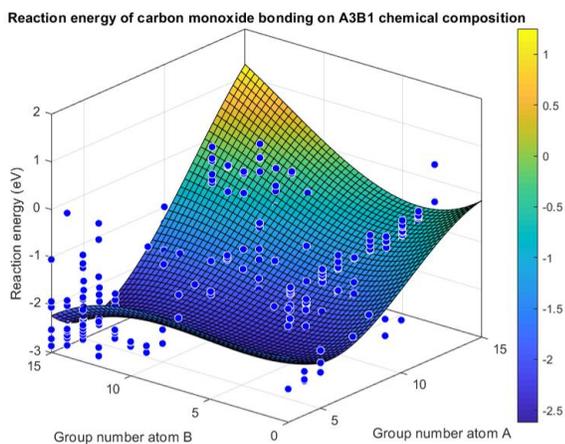


Figure 3 A 3D plot with the bonding reaction energy (eV) plotted against the periodic group of atom A versus B of the chemical composition with no given binding site. A third-degree polynomial was used in MATLAB to generate a fit through all the data points.

The tree chart is useful to navigate through the curated data of the Catalysis-Hub.org database. However, the processed data required a lot of work to get it in the right buckets. When a new research is uploaded to the database, the tree chart with the data will have to be updated manually at this time. A possibility to solve this is by prescribing a code to automate the process.

The data analysis was useful to see if useful data could follow from our research. It can be said with some certainty that with increasing periodic groups of the chemical composition atoms, the reaction energy will also increase with CO bonding and dissociation. However, it is clearly visible that the plots missed a lot of data points in figure 2 and 3 and therefore less trustworthy. Furthermore, the CO binding data points (Figure 3) did not have a given binding site, so it is possible that different binding sites were compared with each other.

More qualitative research will have to follow to be able to say something about the data with more certainty.

### Conclusions

This research has extracted and sorted a vast amount of information in the Catalysis-Hub.org database and has made it more usable for machine learning. This research has also shown periodic trends in chemical composition and reaction energy, but more qualitative research is needed to get more certainty in the trends.

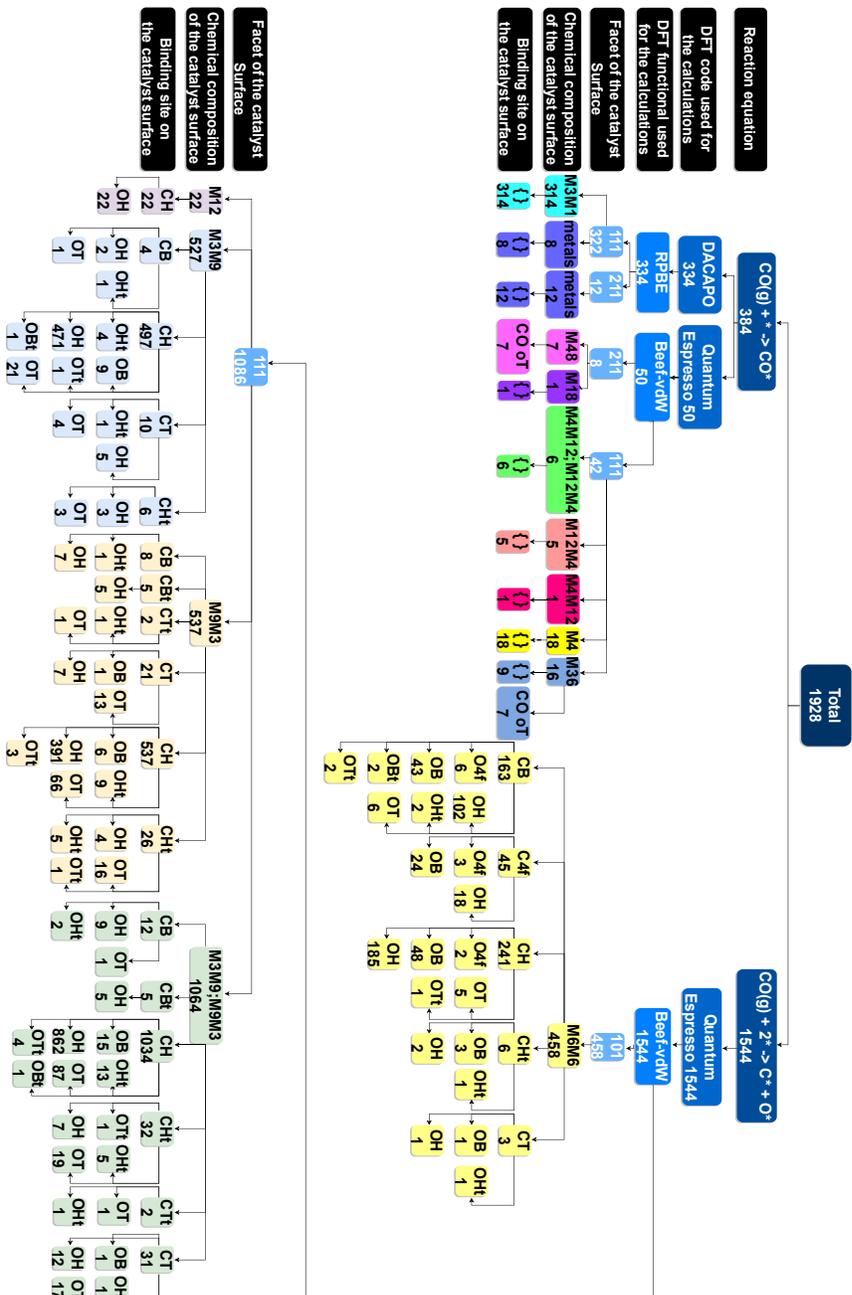
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## Appendix A – Tree Chart



### Legend Binding Sites

4f = 4-fold (binding on a hollow site between 4 atoms)  
 B = Bridge (on a bridging site)  
 Bt = Bridge-tilt (on a bridging site but out of the line between the atoms)  
 H = Hollow (on a hollow site)  
 Ht = Hollow-tilt (on a hollow site but out of the line between the atoms)  
 OT/TT = on Top/Top (on top of an atom)  
 Tr = Top-tilt (binding on top of an atom, but not straight on top)  
 {} = no given binding site information from the database  
 Capital C = Bounded Carbon atom  
 Capital O = Bounded Oxygen atom  
 Capital CO = Bounded Carbon monoxide molecule

Figure 4 The tree chart contains a logical distribution of all curated CO bonding and dissociation data (can be requested from the corresponding author). At the far left are categories that include all squares (buckets) at the same height, with the binding site category containing the entire bottom layer. Each bucket contains the total data instances of the relevant bucket category and above. (E.g. the most left RPBE bucket contains 334 data instances which have in common the RPBE DFT functional, DACAPO DFT code and the  $\text{CO}(g) + * \rightarrow \text{CO}^*$  reaction equation.)

The chemical composition consists of an M (Metal) and a number. I.e.  $\text{Zr}_3\text{Au}$  becomes M3M1 and  $\text{Au}_{36}$  becomes M36.

The binding sites are the places where and how the CO, C or O are bound to the catalyst. Explanation of the binding site abbreviations can be found in the attached legend.

NB the tree chart is cut in the middle to fit on this page. The categories are repeated on the left.